



Original software publication

ESP3: An open-source software for the quantitative processing of hydro-acoustic data



Yoann Ladroit ^{a,b,*}, Pablo C. Escobar-Flores ^a, Alexandre C.G. Schimel ^a,
Richard L. O'Driscoll ^a

^a National Institute of Water and Atmospheric Research, Wellington, New Zealand

^b Institute for Marine and Antarctic Studies, University of Tasmania, Hobart, TAS, 7001, Australia

ARTICLE INFO

Article history:

Received 18 June 2020

Received in revised form 16 August 2020

Accepted 17 August 2020

Keywords:

Hydro-acoustics

Biomass estimation

Fisheries management

Marine science

Oceanography

ABSTRACT

ESP3 is an open-source software to process single-beam and split-beam echosounder data. Multiple displays, analysis tools parameterizable algorithms are available to the user to scrutinise their data, and a scripting module allows applying these to entire surveys in batch processing. The software infrastructure is designed to handle large datasets with efficiency and consistency. With ESP3, one can implement robust workflows combining automated methods and expert decision-making to produce quantitative analysis of acoustic backscatter. While originally designed to process acoustic surveys for fish biomass estimation, ESP3 has also been used for studies of marine ecosystems and marine geophysical applications.

© 2020 The Author(s). Published by Elsevier B.V. This is an open access article under the CC BY license (<http://creativecommons.org/licenses/by/4.0/>).

Code metadata

Current code version	1.8.4
Permanent link to code/repository used of this code version	https://github.com/ElsevierSoftwareX/SOFTX_2020_262
Code Ocean compute capsule	–
Legal Code License	MIT license (MIT)
Code versioning system used	git
Software code languages, tools, and services used	Source code languages: Matlab, SQLite, PostgreSQL. Source code requires MATLAB R2019b and some compulsory toolboxes (Curve Fitting, Database, Image Processing, Signal Processing, Statistics and Machine Learning) and makes use of some optional toolboxes (Mapping, Parallel Computing). Compiled version requires the free Matlab Compiler Runtime 9.7. OS: Microsoft Windows 10 64 bits. Dependencies: SQLite and PostgreSQL JDBC drivers. https://bitbucket.org/yladroit/esp3/src/master/docs/ESP3_User_Guide.docx Yoann.Ladroit@niwa.co.nz
Compilation requirements, operating environments & dependencies	
If available Link to developer documentation/manual	
Support email for questions	

Software metadata

Current software version	1.8.4
Permanent link to executables of this version	https://sourceforge.net/projects/esp3/
Legal Software License	MIT license
Computing platforms/Operating Systems	Microsoft Windows 10 64 bits
Installation requirements & dependencies	Matlab MCR 9.7. Dependencies: SQLite and PostgreSQL JDBC drivers.
If available, link to user manual - if formally published include a reference to the publication in the reference list	https://bitbucket.org/yladroit/esp3/src/master/docs/ESP3_User_Guide.docx
Support email for questions	Yoann.Ladroit@niwa.co.nz

* Corresponding author at: National Institute of Water and Atmospheric Research, Wellington, New Zealand.

E-mail address: yoann.ladroit@niwa.co.nz (Y. Ladroit).

1. Motivation and significance

Acoustics are a standard technique to assess the distribution and abundance of fish and zooplankton [1]. Active acoustic instruments such as single-beam and split-beam echosounders produce a view of a vertical slice of the water column which can be used to characterise its biological content. These systems are now found on most research and fishing vessels, yet there are relatively few software packages available to process their data for quantitative analysis of acoustic backscatter (see Table 1). Those distributed under an open-source licence lack a fully developed user interface could that allow easy data scrutiny and processing by users unfamiliar with a coding environment. ESP3 (Echo Sounder Package) is the third iteration of a software written and maintained at the National Institute of Water and Atmospheric Research (NIWA) to process fisheries acoustics data (see Fig. 1). Like its previous versions, ESP3 was designed around the need to run processing scripts in order to fulfil fisheries research requirements of reproducibility and consistency. Under continuous and active development, it has since evolved into an open-source platform for extracting quantitative measurements from echosounder data, irrespective of the field of application. The open-source approach guarantees transparency, which enables the comparison of quantitative studies across institutes.

ESP3 is used primarily to process acoustic data from dedicated fisheries surveys (e.g., [2–4]), following the well-established methodology of “echo-integration”, which allows the estimation of fish biomass from the computed area backscattering coefficient S_a ($m^2 m^{-2}$) [1]. The software includes several algorithms replicating methodology from relevant literature and others developed at NIWA (see Table 2) to operate a range of tasks, such as excluding common artefacts (e.g. signal drop-out, interferences) and designating specific portions of the water column for analysis.

2. Typical workflow

In ESP3, processing data from a hydro-acoustic survey usually follows a standard workflow (see Fig. 2). First, the user needs to populate the files’ metadata from the survey design (i.e. snapshot number, stratum name, transect type, and transect number). Next, data are pre-processed in a manual, semi- or fully-automated fashion, depending on the volume of data, complexity of the analysis, and the need for expert input. This process typically includes denoising, defining the regions of interest, and defining the samples to exclude from analysis (e.g. bottom echo, bad pings, noise spikes, etc.). XML scripts detailing the parameters and inputs for the echo-integration can then be generated from the software, and edited by the user if necessary. The use of scripts ensures repeatability and reproducibility of the echo-integration results. Batch processing with scripts creates standardised output in self-descriptive .csv and .xlsx files, which can then be imported into external packages or software for fisheries stock assessment (e.g., [5,6]).

3. Software description

ESP3 provides a graphical user interface allowing the user to visualise and process hydro-acoustic data from common split-beam and single-beam echosounders. Supported files formats include Simrad *.raw files, ASL *.01A files, and Furuno FCV-30 *.dat files. In this context, hydro-acoustic data are defined as a series of “pings” which are short temporal quadrature signals (IQ) received from an instrument in response to a known transmitted acoustic pulse, after propagation through the water column and backscattering from reflectors situated in the water column and the seafloor. Each short temporal time series finishes when the

next pulse is transmitted, and the accumulations of consecutive time series are called an “echogram”. Initially, the IQ time series need to be converted to physically meaningful (acoustic) quantities as described in [1,7,8], and geographically referenced. These samples are then associated to a time and a specific geographical location resulting in a time series $S(t, lat, lon, depth)$ for all physical quantities defined. In this paper, data *cleaning* refers to the process of excluding portion of the signal from further analysis, either as by defining them as *empty water* (0) or *NaN* (“Not a Number”). Data *annotating* refers to the process of defining regions of interest in an echogram and attributing it to a specified class.

3.1. Software installation

ESP3 is written in MATLAB®. With the source code, it can be run from a standard MATLAB environment provided the appropriate version and toolboxes are installed. The source code is under Git version control. Periodically, a compiled version is created out of the latest stable release. This is an individual ESP3 application for Windows (64 bits platforms) that can be run without the MATLAB software or licence and only requires the user to install the appropriate version of the (free) MATLAB Compiler Runtime. The source code version and the compiled version operate in the same manner except for the installation and software start procedure.

3.2. Software architecture

The software is designed to make full use of MATLAB’s object-oriented capabilities, relying on some of MATLAB’s built-in graphical objects and defining new custom classes to fit the context of hydro-acoustic data (Fig. 3). Starting the software creates a single instance of the `esp3_cl` class, the properties of which include the software’s main figure. When the user imports a data file, one or several instances of the `layer_cl` class are created and added as properties of the `esp3_cl` instance, and the data are parsed to create further class instances as properties. Crucially for the survey processing workflow, data files that have been allocated the same metadata are stored in the same `layer_cl` object when loaded so that they can easily be displayed and processed together. Since hydro-acoustic data files often contains data coming from several channels with different operating frequency, several `transceiver_cl` are created for each layer. The voluminous parts of the data (i.e. full time series of acoustic signals) are stored in memory-mapped binary files (using MATLAB `memmapfile` objects encapsulated in an `ac_data_cl` object), allowing for the rapid loading of large datasets of several terabytes without filling the system’s RAM. The result of the cleaning and annotating process for each channel are stored in instances of the `region_cl` and `bottom_cl` classes, which are added as properties of the relevant `transceiver_cl` object.

3.3. Graphical interface

ESP3’s graphical interface provides interactive and intuitive access to all available tools and algorithms to help the user scrutinise the data. The graphical interface is arranged into three main panels: the Control Panel, the Algorithms Panel, and the Main Panel (Fig. 1).

The Control Panel consists of a series of tabs that provide access to different data types and channels, display settings, an overview of the echogram shown on the Main Panel, functionalities for data management, map (geographical) display, data processing options, the calibration tool, listing and handling of regions, multi-frequency analysis and display, single target and

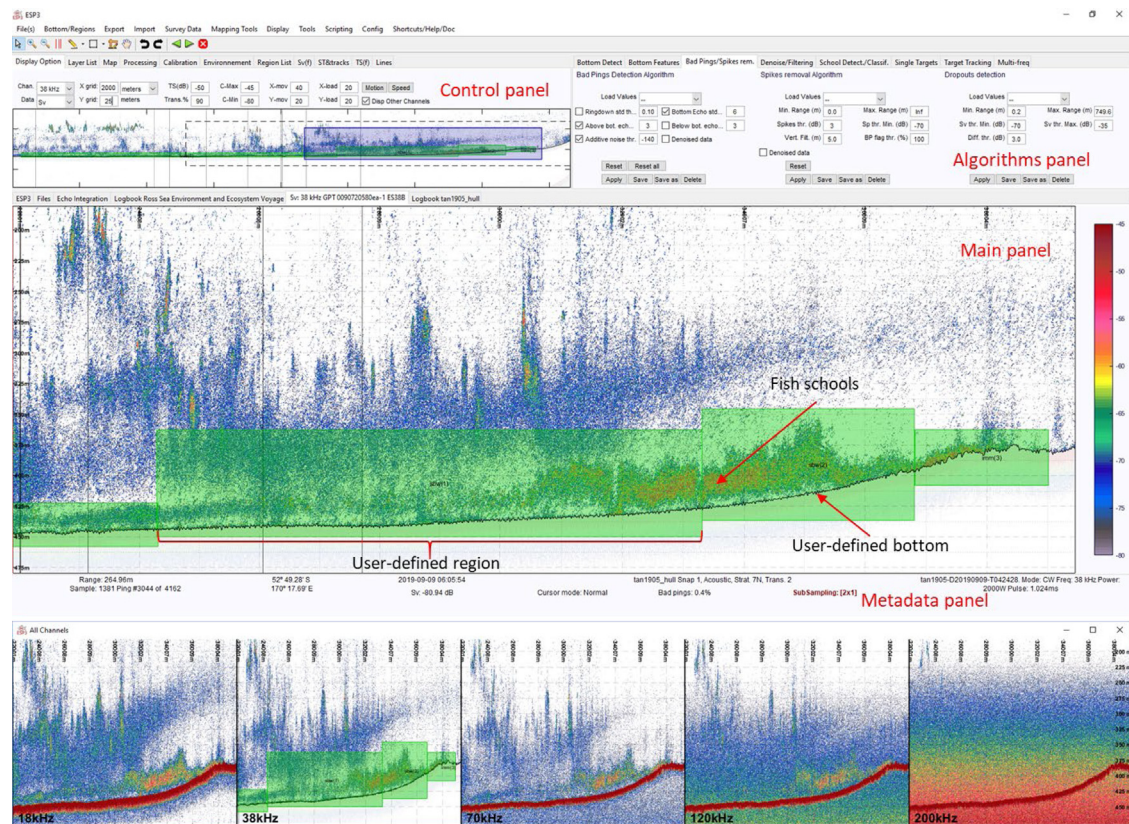


Fig. 1. Main graphical interface of ESP3 showing a cleaned and annotated echogram (top panel). Acoustic data in the echogram is represented in colour, which scheme and range can be customized to the user needs. The black line on the echogram is the user-defined bottom. Green rectangles are user-defined regions of interest (e.g. fish schools). Echograms of all available channels are displayed in a separate window (bottom panel).

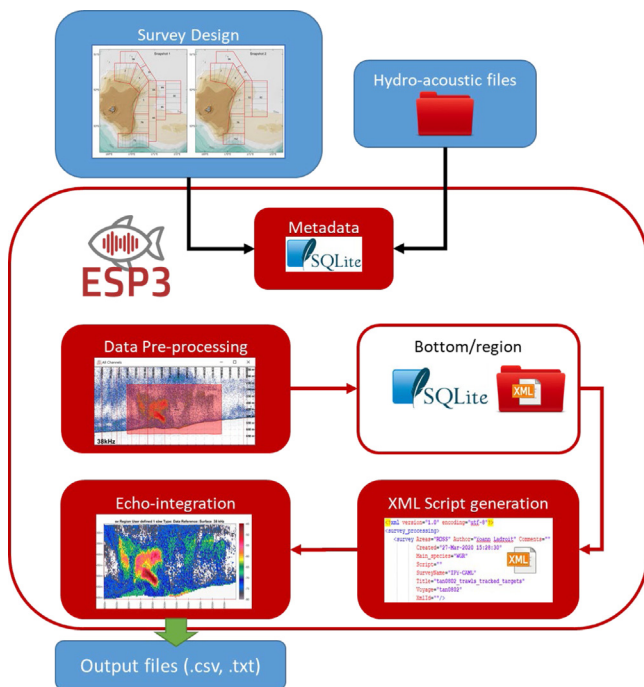


Fig. 2. ESP3 standard workflow for hydroacoustic data analysis using ESP3.

tracked targets detection results, lines import/export options, and definition of environmental parameters that determine the sound-speed and absorption coefficients.

On the Algorithms Panel, the parameters of the algorithms used for data scrutiny or analysis are defined. From these tabs, the algorithms can be directly applied on the data currently displayed on the main panel. They can also be applied to a batch of layers from the processing tab on the Control Panel.

The Main Panel displays the acoustic data (Fig. 1) and is used to: (i) define (annotate) regions (information stored in a `region_c1` object) around marks of interests such as fish schools; (ii) define (clean) areas, pings or samples to be removed from analysis, such as the data below the bottom represented as a black line (information stored in a `bottom_c1` object); (iii) inspect the result of algorithms applied to the data in order to fine tune their parameters. From the Main Panel, various tasks can be invoked (e.g. application of algorithms, metadata editing, data exploration, and data exports) through the use of contextual menus that appear when right-clicking on the relevant objects in the display.

This configuration is complemented at the top with a Menu Bar and a Tools Bar, allowing quick access to tools and functionalities, and at the bottom with a Metadata Panel, which updates interactively and provide information on the currently displayed file, sample where the mouse cursor currently points at, and current ESP3 processing.

Echograms of all available channels (information stored in a `transceiver_c1` object) in the data file (information stored in a `layer_c1` object) are displayed in a separate window titled “All Channels” (Fig. 1).

3.4. Software functionalities

ESP3 offers many tools to visualise and scrutinise the data, including display settings and tools to navigate through the data rapidly, and additional windows and displays to provide further

Table 1

Available software packages for processing fisheries acoustics data.

Software	Developer	Operating system	Language	Open source licence	Free	Current version - Release date
Echoview [9]	Echoview Pty Ltd, Australia	Windows	C/C++	–	No	v11 - 04/2020
LSSS [10]	MAREC - IMR, Norway	Windows	Java	–	No	–
Movies3D [11]	IFREMER, France	Windows	C/C++	–	Yes	v1296 - 07/2019
PyEcholab [12]	NOAA/NMFS, US	Windows, Linux, Mac OS	Python	MIT	Yes	v0.2.0 - 03/2018
SonarX [13]	University of Oslo, Norway	Windows	–	–	No	v606.16 - 11/2019
EchoType [14]	APL-UW, US	Windows, Linux, Mac OS	Python	Apache 2.0	Yes	0.3.2 - 05/2020
MatEcho [15]	IRD, France	Windows, Linux	Matlab	No licence file	Yes	–
ESP3 [16]	NIWA, New Zealand	Windows	Matlab	MIT	Yes	1.8.4 - 06/2020

Table 2

Available algorithms for automated data processing. Algorithms can be applied to subsets of echograms, data from one or several files, or to an entire survey through the scripting module.

Algorithm	Description	Type	Reference(s)
Bottom detection (V1 & V2)	Efficient detection of the bottom echo, to be removed (as well as data under it) from further analysis.	Clean	ESP3 documentation
Bottom features calculation	Calculation of RoxAnn bottom features “roughness (E1)” and “hardness (E2)”. Three approaches available.	Annotate	[17]
Bad pings detection	Detection of pings corrupted (multiple criteria available), to be removed from further analysis.	Clean	ESP3 documentation
Spikes detection	Detection of samples contaminated by short bursts of noise (usually due to interferences), to be removed from further analysis.	Clean	Undocumented
Dropouts detection	Detection of pings experiencing a drop in signal level, to be removed from further analysis.	Clean	Undocumented
Denoising	Removal of background noise and estimation of signal-to-noise ratio.	Clean	[18]
School detection	Implementation of the shoal analysis and patch estimation system algorithm.	Annotate	[19]
School classification	Classification of regions or integration cells based on a user-defined classification tree.	Annotate	Undocumented
Single target detection	Detection of isolated targets (usually, single fish), based on signal characteristics.	Annotate	[20]
Single target tracking	Tracking of single targets in space and time.	Annotate	[21,22]

information, such as a map to provide the spatial context of the experiment/survey. In the following sections, we describe some of the more advanced tools for scrutinising and processing the data.

3.4.1. Metadata management

For each folder containing hydro-acoustic data files, an *SQLite* database is automatically generated when a file is opened for the first time to hold the metadata for each file contained in the folder. Some attributes are automatically populated using information rapidly obtainable from each file (e.g. *Filename*, *StartTime*, *EndTime* in the **logbook** table) (database structure described in Table 3). Additional attributes are populated automatically when the corresponding files are opened in ESP3 (e.g. attributes of the **ping_data** table). Other attributes can only be populated by the user via the ESP3 interface (e.g. attributes of the survey table). The attributes in the **survey** table are applicable to all files in the folder, so the user is requested to populate these only once. For each file, the user can fill the *Snapshot*, *Stratum*, *Type*, and *Transect* attributes of the **logbook** table to reflect the experimental design of the survey.

3.4.2. Environmental and calibration tools

To allow quantitative analysis of hydro-acoustic data, it is necessary to compute accurate calibration values for an acoustic instrument [23]. The appropriate environmental parameters (i.e. temperature and salinity) at the time of the calibration must be used to accurately estimate the water's physical characteristics that affect the signal level (absorption and sound speed) [24–26]. ESP3 includes a calibration tool to compute and save calibration values from target sphere measurements. Calibration values

and environmental parameters can also be imported and applied via the Calibration and Environment tabs, respectively, of the interface.

3.4.3. Manual cleaning and annotating tools

ESP3 offers tools to interactively define:

- “Bad Pings”: pings to be ignored in further analysis (treated as *NaN*).
- The “Bottom Line”: the sample in each ping under which all samples are to be ignored, usually including the seafloor echo (data below the Bottom Line treated as *NaN*)
- “Regions”: areas of interest, tagged either as:
 - *Data*: region to be annotated and included in the analysis, or
 - *Bad Data*: region to be excluded from analysis (treated as empty water)

Data cleaning and annotating do not modify acoustic data stored in *ac_data_c1* objects. Instead, it stores the information on the “status” of the data either in its properties or a *region_c1* or a *bottom_c1* object. Those objects can be saved externally as XML files, which will be automatically reloaded the next time the same layers are opened. These objects can also be archived in a *SQLite* database to allow the user to store and reuse multiple versions of cleaning and annotating, for example if the user wishes to backup and safeguard the results of an annotation session, or if different annotations are required for different data uses.

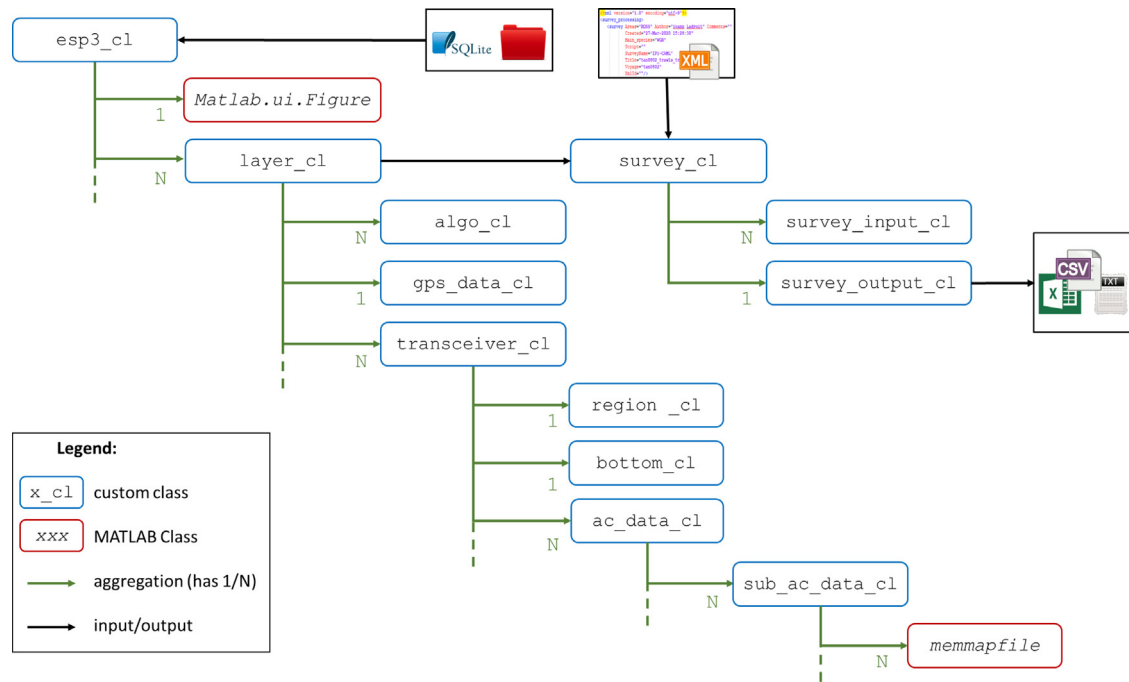


Fig. 3. Simplified schema of the hierarchical objects structure of ESP3, reflecting the physical configuration of hydro-acoustic instruments. A summary of classes and their properties and methods can be found in the ESP3 source code repository in the text file `.\src\classes\class_summary.txt`.

Table 3
Structure of the SQLite database automatically generated.

Table	Attribute	Data type	P-key	F-key	Unique	Description
survey	Voyage	Char	☑	☐	☐	Name of voyage
	SurveyName	Char	☐	☐	☐	Name of survey
	Timezone	Float	☐	☐	☐	Time-zone (GMT)
logbook	Filename	Char	☑	☐	☑	Relative filename
	Snapshot	Numeric	☐	☐	☐	Snapshot number
	Type	Char	☐	☐	☐	Transect type
	Stratum	Char	☐	☐	☐	Stratum name
	Transect	Numeric	☐	☐	☐	Transect number
	StartTime	Time	☑	☐	☐	Start time
	EndTime	Time	☐	☐	☑	End time
	Comment	Text	☐	☐	☐	
ping_data	Filename	Char	☑	☑	☐	Relative filename
	Ping_number	Integer	☐	☐	☐	Ping number
	Frequency	Float	☑	☐	☐	Frequency
	Lat	Float	☐	☐	☐	Latitude (decimal degrees)
	Long	Float	☐	☐	☐	Longitude (decimal degrees)
	Time	Time	☑	☐	☐	Time
	Depth	Float	☐	☐	☐	Water depth (m)

3.4.4. Algorithms

Algorithms (Table 2) allow users to automatically clean and annotate the data before scrutinising it manually. Each algorithm is controlled by several editable parameters allowing the user to test and fine-tune the algorithm for a specific case. Once appropriate parameters have been found, these settings can be saved (stored in the `.\config` folder of the installation directory) for future use. Most algorithms can be applied at various scales: on a subset of the currently displayed layer (via a region or a selection box), on the currently displayed layer (via the Algorithms Panel, or the Processing tab of the Control Panel), or on all currently loaded layers or files (as before, or via a script as described below).

3.4.5. Automated processing using scripting

The repeatability of results relies on tracking and controlling the processing that has been applied to a set of files. In ESP3, this is achieved with echo-integration scripts: XML files that

specify the processing to be applied. Echo-integration scripts can be written by the user manually, or created using the ESP3 script-builder tool (in the Scripting menu). Running a script creates a `survey_input_cl` object (see Fig. 3), which applies the analysis to the main `esp3_cl` object, populates the results in a `survey_output_cl` object, and exports it into `.csv` and `.xlsx` files readable by other packages.

4. Illustrative examples

We illustrate the use of ESP3 by echo-integrating one snapshot (i.e. a set of random transects covering the survey area) of the acoustic survey of spawning southern blue whiting (SBW) (*Merluccius australis*) on the Campbell Island Rise, New Zealand, which took place from 28 August to 25 September 2019 aboard *RV Tangaroa* (NIWA voyage code TAN1905). The survey aimed at estimating the relative abundance of adult SBW and predicting

```

<?xml version="1.0" encoding="utf-8"?>
<survey_processing>
  <survey Areas="CAMP"
    Author="Yoann Ladroit"
    Comments="Adult SBW only"
    Created="10-Sep-2019 06:08:21"
    Main_species="SBW"
    SurveyName="SBW2019"
    Title="SBW 2019 Snapshot 1 : Adult"
    Voyage="tan1905"/>
  <options Frequency="38000"
    Absorption="9.41"
    Soundspeed="1484"
    Horizontal_slice_size="10"
    Vertical_slice_size="10"
    Vertical_slice_units="pings"/>
<!-- TOWBODY PART -->
  <snapshot folder="tan1905\towbody_4\ek60" number="1" type="Acoustic">
    <cal FREQ="38000" G0="23.93" SACORRECT="-0.54"/>
    <stratum name="1"><transect number="1;2;3;5"><region tag="sbw" ver="0"/><bottom ver="0"/></transect></stratum>
    <stratum name="3N"><transect number="2"><region tag="sbw" ver="0"/><bottom ver="0"/></transect></stratum>
    <stratum name="4"><transect number="2"><region tag="sbw" ver="0"/><bottom ver="0"/></transect></stratum>
    <stratum name="5"><transect number="1;2;3;4"><region tag="sbw" ver="0"/><bottom ver="0"/></transect></stratum>
    <stratum name="6N"><transect number="2;3"><region tag="sbw" ver="0"/><bottom ver="0"/></transect></stratum>
    <stratum name="6S"><transect number="1"><region tag="sbw" ver="0"/><bottom ver="0"/></transect></stratum>
    <stratum name="7N"><transect number="3"><region tag="sbw" ver="0"/><bottom ver="0"/></transect></stratum>
    <stratum name="8S"><transect number="2;3;4;5;6"><region tag="sbw" ver="0"/><bottom ver="0"/></transect></stratum>
    <stratum name="8N"><transect number="2;3"><region tag="sbw" ver="0"/><bottom ver="0"/></transect></stratum>
    <stratum name="8S"><transect number="1;2;3"><region tag="sbw" ver="0"/><bottom ver="0"/></transect></stratum>
    <stratum name="7S"><transect number="1;2;3;4;5;6;7;8"><region tag="sbw" ver="0"/><bottom ver="0"/></transect></stratum>
  </snapshot>
<!-- HULL PART -->
  <snapshot folder="tan1905\hull\ek60" number="1" type="Acoustic">
    <cal FREQ="38000" G0="26.31" SACORRECT="-0.59"/>
    <stratum name="2"><transect number="4"><region tag="sbw" ver="0"/><bottom ver="0"/></transect></stratum>
    <stratum name="3N"><transect number="1;2;3"><region tag="sbw" ver="0"/><bottom ver="0"/></transect></stratum>
    <stratum name="3S"><transect number="1;2;3"><region tag="sbw" ver="0"/><bottom ver="0"/></transect></stratum>
    <stratum name="4"><transect number="1;3;4;5"><region tag="sbw" ver="0"/><bottom ver="0"/></transect></stratum>
    <stratum name="5"><transect number="4"><region tag="sbw" ver="0"/><bottom ver="0"/></transect></stratum>
    <stratum name="6N"><transect number="1"><region tag="sbw" ver="0"/><bottom ver="0"/></transect></stratum>
    <stratum name="6S"><transect number="2;3"><region tag="sbw" ver="0"/><bottom ver="0"/></transect></stratum>
    <stratum name="7N"><transect number="1;2;4"><region tag="sbw" ver="0"/><bottom ver="0"/></transect></stratum>
    <stratum name="8S"><transect number="1"><region tag="sbw" ver="0"/><bottom ver="0"/></transect></stratum>
    <stratum name="8N"><transect number="1"><region tag="sbw" ver="0"/><bottom ver="0"/></transect></stratum>
    <stratum name="8S"><transect number="4"><region tag="sbw" ver="0"/><bottom ver="0"/></transect></stratum>
    <stratum name="7S"><transect number="3"><region tag="sbw" ver="0"/><bottom ver="0"/></transect></stratum>
  </snapshot>
</survey_processing>

```

Fig. 4. Script used to run the echo-integration of the 2019 SBW acoustic survey. Two instruments were used to run the survey, a hull-mounted echosounder and a deep-towed system, which are accounted for in the script by the use of two different “snapshot” elements. The structure of the script reflects the design of the survey (Snapshot-Stratum-Transect). Only options that are different from default ESP3 values were specified in the script, to improve readability.

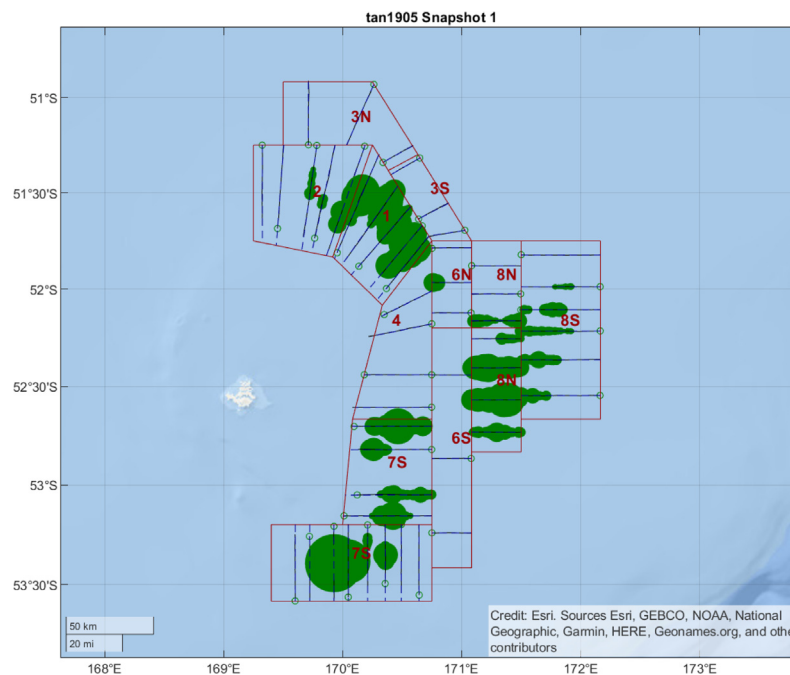


Fig. 5. Results of the echo-integration. The spatial distribution of acoustic backscatter from adult SBW (averaged over 10 consecutive pings, i.e. approximately 100 m) for snapshot 1 is represented as green disks, which area is proportional to the log of the acoustic backscatter. The blue lines are the transects, and the regions delimited in red are the strata.

pre-recruit numbers into the stock, which is used to inform decisions made by the New Zealand government to set commercial catch quota. The survey covers a wide area (30,000 km²) and is divided into strata, with a total of 54 random transects for this snapshot.

The hydro-acoustic data were first cleaned in a semi-automated fashion (i.e. fine-tuning and applying bottom detection and bad pings detection algorithms, then visually inspecting the results and editing if necessary). Then, the data were manually annotated to define SBW marks. The results of this cleaning and annotating were then saved and a script was generated (see Fig. 4) to run the echo-integration.

Results from the echo-integration can be visualised within the software as a distribution map or graph of relative abundance to check for possible issues before further analysis (Fig. 5). Integrated backscatter can then be converted to biomass using the ratio of mean weight to mean-backscattering cross-section for SBW (computed from length–frequency samples from trawl catches during the survey).

5. Impact

ESP3 allows researchers to scrutinise and process echosounder data collected from research and commercial vessels. By providing the only open-source software with a graphical interface, ESP3 significantly increases the uptake and potential uses of those datasets. Likewise, it provides a cost-effective alternative to proprietary software for less affluent institutions interested in learning and using hydro-acoustics. ESP3 was first released to the public in March 2017 and has already generated considerable interest. At the time of writing this article, it has been downloaded more than 1600 times from 63 countries.¹ Multiple research institutions and universities have contacted the development team for assistance or suggesting modifications. The first training course on the use of ESP3 held at the annual meeting of the International Council for the Exploration of the Sea (ICES) Working Group on Fisheries Acoustics, Science and Technology (WGFAST), was attended by researchers and students from seven countries. At NIWA, ESP3 is used to process all hydro-acoustic data from fisheries acoustics surveys, supporting a broad range of research in fisheries and ecology (e.g. [27–29]). It is also actively used in geophysics to cross-calibrate seafloor backscatter measurement from multibeam echosounders (in a habitat mapping context [30]), and to compute bubble size distribution from seeps using broadband measurements to estimates volumes of CH₄ and CO₂ released from the seafloor [31].

6. Conclusions

ESP3 is an open-source tool for the quantitative processing of echosounder data. The software is under continuous and active development. New functionalities are frequently added to meet further research requirements and the source code is regularly improved to accelerate workflows and handle datasets of ever-increasing size. In recent years, fisheries acoustics manufacturers have developed new broadband systems (e.g. SIMRAD EK80) that generate a dramatically larger volume of data, forcing software developers to optimise processing workflows and build better supervised or non-supervised methods for processing datasets. The development of ESP3 has followed this trend, resulting in a software that can successfully handle very large datasets and apply complex algorithms efficiently. Planned future developments include machine learning approaches for data processing to further speed up, automate and standardise the cleaning and annotating process, using the large datasets already processed with ESP3 and stored at NIWA for the training and validation of such algorithms.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Acknowledgements

The development, maintenance, and documentation of ESP3 have been made possible by the funding provided by the project FIAR2001/TOOLS from the Strategic Science Investment Fund (SSIF) of the Ministry of Business Innovation and Employment (MBIE) of New Zealand. The development of tools specific to broadband has been supported by the MBIE Endeavour Smart Ideas fund, projects C01X1905, END19303. The development of classification tools was funded by the MBIE SSIF fund project COES2001/FOODWEB.

References

- [1] Simmonds J, MacLennan DN. *Fisheries acoustics: Theory and practice*. Wiley; 2008.
- [2] O'Driscoll RL, Large K, Marriott P. Acoustic estimates of southern blue whiting from the Campbell Island Rise, August–September 2016 (TAN1610). New Zealand Fisheries Assessment Report 2018/56, 2018, p. 60. <https://fs.fish.govt.nz/Doc/24654/FAR-2018-56-TAN1610-SBW-acoustics-Campbell-Island-rise.pdf.ashx>.
- [3] Ladroit Y, O'Driscoll RL. Voyage report TAN1905: Campbell Island southern blue whiting. Voyage Report: Fisheries New Zealand, 2019, p. 15.
- [4] O'Driscoll RL. Acoustic biomass estimates of southern blue whiting on the Bounty Plateau in 2017. New Zealand Fisheries Assessment Report 2018/11, 2018, p. 28. <https://fs.fish.govt.nz/Doc/24595/FAR-2018-11-Acoustic-biomass-estimates-Bounty-SBW-2017.pdf.ashx>.
- [5] Bull B, Francis RICC, Dunn A, et al. CASAL (C++ algorithmic stock assessment laboratory): CASAL user manual V.2.30-2012/03/21. NIWA technical report no. 135, Wellington [N.Z.]: Niwa; 2012, p. 279.
- [6] Mormede S. Casal2: New Zealand's integrated population modelling tool. CCAMLR WG-SAM-18. Norwich, UK; 2018.
- [7] Lurton X. *Underwater acoustics: An introduction*. Springer London, Limited; 2011.
- [8] MacLennan D. A consistent approach to definitions and symbols in fisheries acoustics. ICES J Mar Sci 2002;59(2):365–9. <http://dx.doi.org/10.1006/jmsc.2001.1158>.
- [9] Echoview, 2020. <https://www.echoview.com/>.
- [10] Large Scale Survey System, 2020. <https://www.marec.no/>.
- [11] Verena T, Berger L, Sebastien B, et al. Overview of recent progress in fisheries acoustics made by Ifremer with examples from the Bay of Biscay. Aquat Living Resour 2009;22(4):433–45. <http://dx.doi.org/10.1051/alr/2009027>.
- [12] Wall CC, Towler R, Anderson C, Cutter R, Jech JM. PyEcholab: An open-source, python-based toolkit to analyze water-column echosounder data. J Acoust Soc Am 2018;144(3):1778. <http://dx.doi.org/10.1121/1.5067860>.
- [13] Sonar X. 2019. https://folk.uio.no/hbalk/sonar4_5/index.htm.
- [14] Echotype, 2020. <https://pypi.org/project/echotype/>.
- [15] Perrot Y, Brehmer P, Habasque J, et al. Matecho: An open-source tool for processing fisheries acoustics data. Acoust Aust 2018;46. <http://dx.doi.org/10.1007/s40857-018-0135-x>.
- [16] ESP3, 2020. <https://sourceforge.net/projects/esp3/>.
- [17] Kloser R, Bax N, Ryan T, Williams A, Barker B. Remote sensing of seabed types in the Australian South East Fishery; development and application of normal incident acoustic techniques and associated 'ground truthing'. Mar Freshw Res 2001;52:475–89. <http://dx.doi.org/10.1071/MF99181>.
- [18] De Robertis A, Higginbottom I. A post-processing technique to estimate the signal-to-noise ratio and remove echosounder background noise. ICES J Mar Sci 2007;64(6):1282–91. <http://dx.doi.org/10.1093/icesjms/fsm112>.
- [19] Coetzee J. Use of shoal analysis and patch estimation system (SHAPES) to characterize sardine schools. Aquat Living Resour 2000;13:1. [http://dx.doi.org/10.1016/S0990-7440\(00\)00139-X](http://dx.doi.org/10.1016/S0990-7440(00)00139-X).
- [20] Soule M, Barange M, Solli H, Hampton I. Performance of a new phase algorithm for discriminating between single and overlapping echoes in a split-beam echosounder. ICES J Mar Sci: J Cons 1997;54(5):934–8. <http://dx.doi.org/10.1006/jmsc.1997.0270>.
- [21] Blackman SS. *Multiple-target tracking with radar applications*. Artech House; 1986.
- [22] Blackman SS, Popoli R. *Design and analysis of modern tracking systems*. Artech House; 1999.

¹ <https://sourceforge.net/projects/esp3/>.

- [23] Demer D, Berger L, Bernasconi M, et al. Calibration of acoustic instruments. ICES cooperative research report N326. Copenhagen, Denmark: ICES; 2015. <https://doi.org/10.17895/ices.pub.5494>.
- [24] Fofonoff NP, Millard R. Calculation of physical properties of seawater. In: WHP operations and methods manual. 1990.
- [25] Doonan IJ, Coombs RF, McClatchie S. The absorption of sound in seawater in relation to the estimation of deep-water fish biomass. *Ices J Mar Sci* 2003;60(5):1047–55. [https://doi.org/10.1016/S1054-3139\(03\)00120-6](https://doi.org/10.1016/S1054-3139(03)00120-6).
- [26] Francois RE, Garrison GR. Sound absorption based on ocean measurements. Part II: Boric acid contribution and equation for total absorption. *J Acoust Soc Am* 1982;72(6):1879–90. <http://dx.doi.org/10.1121/1.388673>.
- [27] Barlow D, Bernard K, Escobar-Flores P, Palacios D, Torres LG. Links in the trophic chain: Modeling functional relationships between in situ oceanography, krill, and blue whale distribution under different oceanographic regimes. *Mar Ecol Prog Ser* 2020;642:207–27. <http://dx.doi.org/10.3354/meps13339>.
- [28] Escobar-Flores PC, Lacroit Y, O'Driscoll RL. Acoustic assessment of the micronekton community on the Chatham Rise, New Zealand, using a semi-automated approach. *Front Mar Sci* 2019;6(507). <http://dx.doi.org/10.3389/fmars.2019.00507>.
- [29] Lacroit Y, O'Driscoll R, Mormede S. Using acoustic echo counting to estimate grenadier abundance in the Ross Sea (SSRU88.11). CCAMLR working group paper, Hobart, Australia: CCAMLR; 2014, p. 25.
- [30] Lacroit Y, Lamarche G, Pallentin A. Seafloor multibeam backscatter calibration experiment: comparing 45°-tilted 38-kHz split-beam echosounder and 30-kHz multibeam data. *Mar Geophys Res* 2018;39(1):41–53. <http://dx.doi.org/10.1007/s11001-017-9340-5>.
- [31] Lamarche G, Gonidec YL, Lucieer V, et al. Gas bubble forensics team surveils the New Zealand ocean. *Eos* 2019;100:1–10. <http://dx.doi.org/10.1029/2019EO133649>.